#### MGT-9 Extract of Annual Return As on the Financial Year Ended March 31, 2019

#### [Pursuant to Section 92(3) of the Companies Act, 2013, and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

#### I. Registration and other details

i.	CIN	L65921MH1994PLC173469
ii.	Registration Date	15 <sup>th</sup> November, 1994
iii.	Name of the Company	Capri Global Capital Limited
iv.	Category / Sub-Category of	Public Company
	the Company	
v.	Address of the Registered	502, Tower A, Peninsula Business Park, Senapati Bapat
	office and contact details	Marg, Lower Parel, Mumbai 400 013, India
		Tel: +91 22 4088 8100; Fax: +91 22 40888170
vi.	Whether listed company	Yes
vii.	Name, Address and Contact	Link Intime India Private Limited
	details of Registrar and	C 101, 247 Park, L B S Marg, Vikhroli West,
	Transfer Agent, if any	Mumbai 400 083
		Tel. No. 022-49186000; Fax: 022-49186060
		Emil: <u>rnt.helpdesk@linkintime.co.in</u>

#### **II.** Principal business activities of the company

All the business activities contributing 10% or more of the total turnover of the Company:-

Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
Financial Services except Insurance and	64920	100.00
pension funding activities		

#### III. Particulars of holding, subsidiary and associate companies

Sr. No.	Name and Address of the Company	CIN	Holding/ Subsidiary /Associate	% of shares held	Applicable Section
1.	Capri Global Housing Finance Limited*	U65990MH2006PLC161153	Subsidiary	100.00	2 (87)

Sr.	Name and Address	CIN	Holding/	% of	Applicable
No.	of the Company		Subsidiary	shares	Section
			/Associate	held	
2.	Capri Global	U67190MH2010PTC200848	Subsidiary	100.00	2 (87)
	<b>Resources</b> Private				
	Limited*				
3.	Capri Global Asset	U65999MH2017PTC291555	Subsidiary	100.00	2 (87)
	Reconstruction				
	Private Limited*				
4	Capri Global	153329	Subsidiary	100.00	2 (87)
	Capital (Mauritius)				
	Limited				
	C/o. Gfin Corporate				
	Services Ltd., 6th				
	Floor, Gfin Tower,				
	42 Hotel Street,				
	Cyber City, Ebene				
	72201, Mauritius				

\*Address: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400 013

## IV. Share holding pattern (equity share capital breakup as percentage of total equity)

	No. of Share		the beginning	g of the	No. of Sha	ares held a	t the end of the	e year	%
Category of Shareholders	Demat	ye Physic al	ar Total	% of Total Shares	Demat	Physic al	Total	% of Total Shares	Chan ge durin g the year
A. Promoters									
(1) Indian									
a) Individual / HUF	4,59,00,835	0	4,59,00,835	26.21	4,59,00,835	0	4,59,00,835	26.21	
b) Central Govt.	0	0	0	0	0	0	0	0	
c) State Govt.(s)	0	0	0	0	0	0	0	0	
d) Bodies Corporate	8,53,41,703	0	8,53,41,703	48.73	8,53,41,703	0	8,53,41,703	48.73	-
e) Banks / FI	0	0	0	0	0	0	0	0	
f) Any Other	0	0	0	0	0	0	0	0	
Sub-Total (A)(1):	13,12,42,538	0	3,12,42,538	74.94	13,12,42,538	0	13,12,42,538	74.94	-
(2) Foreign									
a) NRIs - Individuals	0	0	0	0	0	0	0	0	
b) Other - Individuals	0	0	0	0	0	0	0	0	
c) Bodies Corporate	0	0	0	0	0	0	0	0	
d) Banks / FI	0	0	0	0	0	0	0	0	
e) Any Other	0	0	0	0	0	0	0	0	
Sub-Total (A)(2):	0	0	0	0	0	0	0	0	

#### i) Category-wise Shareholding

Category of	No. of Share	es held at ye	the beginning ar	g of the	No. of Sha	res held a	t the end of the	e year	% Chan
Shareholders	Demat	Physic al	Total	% of Total Shares	Demat	Physic al	Total	% of Total Shares	ge durin g the year
Total Shareholding of Promoters (A) = (A)(1)+(A)(2)	13,12,42,538	0	3,12,42,538	74.94	13,12,42,538	0	13,12,42,538	74.94	-
B. Public Shareholding									
<b>B. (1) Institutions</b> a) Mutual Funds	0	0	0	0	0	0	0	0	0
,	0	0	0	0	0	0	0	0	0
b) Venture Capital Funds	0	0	0	0	0	0	0	0	0
c) Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0
d) FPIs	1,38,06,443	0	1,38,06,443	7.88	56,94,774		56,94,774	3.25	(4.63)
e) Banks / FI	95,722	0	95,722	0.05	11,007		11,007	0.01	(0.04)
f) Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-Total (B)(1):	1,39,02,165	0	1,39,02,165	7.94	57,05,781		57,05,781	3.26	(4.68)
2) Central Government/ State Government(s)/ President of India Central Government / State Government(s)	0	0	0	0	0	0	0	0	0
Sub Total (B)(2)	0	0	0	0	0	0	0	0	0
B.(3) Non- Institutions									
(a) Individuals									
i) Individual Shareholders holding nominal share capital upto Rs.1 Lakh	49,70,076	32,410	50,02,486	2.86	30,26,755	24,410	30,51,165	1.74	(1.11)
ii) Individual Shareholders holding nominal share capital in excess of Rs. 1 Lakh	13,98,188	0	13,98,188	0.80	15,10,510	0	15,10,510	0.86	0.06
b) NBFCs registered with RBI	0	0	0	0	100	0	100	0.00	0.00
c) Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0

Category of	No. of Share		the beginning	g of the	No. of Sha	res held a	t the end of the	e year	% Chan
Shareholders	Demat	ye Physic al	Total	% of Total Shares	Demat	Physic al	Total	% of Total Shares	durin ge the year
d) Any Other (Specify)									
i) IEPF	35,615	0	35,615	0	35,615	0	35,615	0.02	0.00
ii) HUF	7,10,272	0	7,10,272	0.41	6,59,096	0	6,59,096	0.38	(0.03)
iii) Non Resident Indians (Non Repat)	82,203	0	82,203	0.05	24,090	0	24,090	0.01	(0.04)
iv) Non Resident Indians (Repat)	90,680	0	90,680	0.05	50,764	0	50,764	0.03	(0.02)
v) Clearing Members / Clearing House	1,90,902	0	1,90,902	0.11	2,05,395	0	2,05,395	0.12	0.01
vi) Bodies Corporate	2,24,78,756	1,000	2,24,79,756	12.83	3,26,48,751	1,000	3,26,49,751	18.64	5.81
Sub Total (B)(3)	2,99,21,077	33,410	2,99,54,487	17.10	3,81,61,076	25,410	3,81,86,486	21.80	4.70
Total Public Shareholding (B)=(B)(1)+(B)(2)+( B)(3)	4,38,58,857	33,410	4,38,92,267	25.06	4,38,66,857	25,410	4,38,92,267	25.06	0.00
Grand Total (A+B)	17,51,01,395	33,410	17,51,34,805	100.00	17,51,09,395	25,410	17,51,34,805	100.00	0
C.Non Promoter - Non Public									
1. Custodian/DR Holder	0	0	0	0	0	0	0	0	0
2. Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	17,51,01,395	33,410	17,51,34,805	100.00	17,51,09,395	25,410	17,51,34,805	100.00	0

## ii) Shareholding of Promoters

Sr. No.	Shareholders	Shareholding t	the year	eginning of	ng of No. of Shares held at the end of the year				
	Name	No of Shares	% of Total Share S	% of shares pledged/ encumber ed to total shares	No of Shares	% of Total Shares	% of shares pledged/ encumb ered to total shares	during the year	
1.	Capri Global Holdings Private Limited	6,78,24,643	38.73	0	6,78,24,643	38.73	0	Nil	

Sr. No.	Shareholders	Shareholding t	g at the be the year	eginning of	No. of Share	es held at t the year		% Change
	Name	No of Shares	% of Total Share S	% of shares pledged/ encumber ed to total shares	No of Shares	% of Total Shares	% of shares pledged/ encumb ered to total shares	during the year
2.	Capri Global Advisory Services Private Limited	1,75,17,060	10.00	0	1,75,17,060	10.00	0	Nil
3.	Ramesh Chandra Sharma	4,37,64,930	24.99	0	4,37,64,930	24.99	0	Nil
4.	Ramesh Chandra Sharma - HUF	21,35,405	1.22	0	21,35,405	1.22	0	Nil
5.	Rajesh Sharma	500	0.00	0	500	0.00	0	Nil
	TOTAL	13,12,42,538	74.94	0	13,12,42,538	74.94	0	Nil

**iii)** Change in Promoters' Shareholding: There was no change in Promoters Shareholding during the year.

## iv) Shareholding Pattern of Top Ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs)

Sr	For Each of the Top Ten Shareholder		lding at the g of the year	Sharehol	Increase/ (De ding during he reason fo decrease	the year	Shareholding at the end of the year	
No.	Name of Top Ten Shareholders	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
				01.06.18	3,44,151			
				24.08.18	1,77,450	-	96,70,641	
				31.08.18	2,12,496			5.5218
1	Gainful Multitrade Pvt. Ltd.	74,58,227	4.2586	07.09.18	1,00,344	Purchase		
1				14.09.18	25,000			
				29.09.18	74,390			
				12.10.18	12,86,083			
				15.03.19	(7,500)	Sell		
				01.06.18	4,00,000			
				08.06.18	5,37,002			
	-			30.06.18	61,031			
2	Roopam Multitrade	53,38,684	3 0483	24.08.18	15,895	Purchase	67,84,558	3.8739
2	Pvt. Ltd.	55,56,004	3.0483	31.08.18	33,055	1 urchase	07,04,000	5.07.59
	1 vt. Etd.			07.09.18	1,02,903	_		
				14.09.18	6,006			
				29.09.18	1,98,646			

Sr	For Each of the Top Ten Shareholder		lding at the g of the year	Sharehol	Increase/ (De ding during he reason fo decrease	the year	Shareholding at the end of the year		
No.	Name of Top Ten Shareholders	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company	
				05.10.18	91,336				
				12.10.18	11,49,352				
	Albula			19.10.18	38,00,000				
3	Investment	0	0	15.03.19	58,484	Purchase	56,78,178	3.2422	
	Fund Ltd.			22.03.19	2,86,610				
				29.03.19	3,83,732				
				08.06.18	(28,500)				
				30.06.18	(13,950)				
				06.07.18	(26,856)				
	Badrikedar	04.04.645		13.07.08	(20,952)	Sell	04.00.004	1.0400	
4	Commercials Private Ltd	34,94,645	1.9954	20.07.18	(2,977)		34,03,224	1.9432	
	T IIVate Eta			27.07.18	(2,000)				
				03.08.18	(8,692)				
				01.02.19	12,506	Purchase			
	Samvrudhi			27.07.18	8,00,000				
5	Multitrade Pvt. Ltd.	9,29,599	0.5308	12.10.18	15,00,000	Purchase	32,29,599	1.8441	
				01.06.18	18,90,173				
	Gladiolus			08.06.18	18,099				
6	Property and Investments	0	0	29.09.18	2,98,511	Purchase	25,80,375	1.4734	
	Pvt. Ltd.			05.10.18	17,658				
				19.10.18	3,55,934				
	Samvrudi			27.07.18	38,382	Purchase			
7	Tradesol Pvt. Ltd.	22,90,847	1.3080	15.03.19	(13,500)	Sell	23,15,729	1.3223	
				19.10.18	13,32,822				
				26.10.18	18,879				
				02.11.18	788				
	SuhaniTrading			23.11.18	1				
	and			30.11.18	9				
8	Investment Consultants	0	0	11.01.19	1,56,755	Purchase	21,94,109	1.2528	
	Private			18.01.19	54,878		21,74,107		
	Limited			25.01.19	20,770				
				01.02.19	5,339				
				08.02.19	5,80,407				
				15.02.19	23,461				
9	RBM Realty Private Limited	12,88,500	0.7357	-	-	-	12,88,500	0.7357	

Sr	For Each of the Top Ten Shareholder		lding at the g of the year	Sharehol	Increase/ (De ding during the reason for decrease	the year	Shareholding of the	
No.	Name of Top Ten Shareholders	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
				06.04.18	4,000			
				13.04.18	570			
				20.04.18	6,179			
				27.04.18	3,700			
				04.05.18	8,095			
				11.5.18	762	Purchase		
				18.05.18	6,325			
		25.01.18 3						
				01.06.18	14,839			
				08.06.18	2,730			
				10.08.18	1,500			
				07.09.18	(3,600)	Sell		
	Janak Babubhai			12.10.18	(4,027)	Sell		0.2972
				19.10.18	11,516	Purchase		
				26.10.18	15,438	Purchase		
10	Babubhai Thakkar	4,30,660	0.2459	02.11.18	(978)	Sell	5,20,586	
	(HUF)			09.11.18	(2,985)	Sell		
	<b>、</b> ,			16.11.18	4,778	Purchase		
				23.11.18	7,835	Purchase		
				30.11.18	(11,413)	Sell		
				07.12.18	3,644	Purchase		
				14.12.18	(3,549)	Sell		
				28.12.18	2,500	Purchase		
				04.01.19	(500)	Sell		
				11.01.19	9,106	Purchase		
				18.01.19	6,405	Purchase		
				01.02.19	8,621	Purchase		
				08.02.19	(7,662)	Sell		
				15.02.19	7,852	Purchase		
				08.03.19	4,320	Purchase		
				15.03.19	(27,825)	Sell		
				29.03.19	(24,000)	Sell		
				08.06.18	(7,607)			
11	Bay Pond MB	72,09,178	4.1164	15.06.18	(1,319)	Sell	0	0
				12.10.18	(72,00,252)			
12		27,43,995	1.5668	06.04.18	(30,875)	Sell	0	0

Sr	For Each of the Top Ten Shareholder Shareholding at the beginning of the year			Sharehol	Increase/ (De ding during t he reason for decrease	Shareholding at the end of the year		
No.	Name of Top Ten Shareholders	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
				20.04.18	(7,990)			
	Morgan			18.05.18	(3,473)			
	Stanley Mauritius			25.05.18	(1,12,051)			
	Company			01.06.18	(25,89,136)			
	Limited			07.09.18	(96)			
				14.09.18	(374)			
		21,16,543	1.2085	08.06.18	(2,344)	Sell	0	0
				15.06.18	(9,119)			
	Par Dand			22.06.18	(3,528)			
13	Bay Pond BMD MB			06.07.18	(2,424)			
				13.07.18	(1,426)			
				27.07.18	(8,38,362)			
				12.10.18	(12,59,340)			
				06.04.18	(7,838)			
				20.04.18	(2,223)	Sell	0	0
14	Ithan Creek	17,36,727	0.9917	18.05.18	(630)			
11	MB	17,00,727	0.7717	25.05.18	(29,462)			
				01.06.18	(6,68,575)			
				12.10.18	(10,27,999)			

## v) Shareholding of Directors and Key Managerial Personnel

### A. Directors

Sr	Name of	Shareholding at the beginning of the year		Date wise Increase/ (Decrease) in Shareholding during the year specifying the reason for increase / decrease			Shareholding at the end of the year	
No.	Director	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
1	Rajesh Sharma	500	0.0003	NA	NA	NA	500	0.0003

None of the other Directors holds any share in the Company.

#### B. Key Managerial Personnel

Sr		Shareholding at the beginning of the year		Date wise Increase/ (Decrease) in Shareholding during the year specifying the reason for increase / decrease			Shareholding at the end of the year	
No.	Name of KMP	No. of Shares	% of total shares of the Company	Date	No. of Shares	Reason	No. of Shares	% of total shares of the Company
1	Rajesh Sharma	500	0.0003	NA	NA	NA	500	0.0003

None of the other Key Managerial Personnel holds any share in the Company.

#### V. Indebtedness

# Indebtedness of the Company including interest outstanding/accrued but not due for payment

				(Rupees in lacs)
Particulars	Secured	Unsecured	Deposits	Total
	Loans	Loans		Indebtedness
	excluding			
	deposits			
	(Rs.)	(Rs.)	(Rs.)	(Rs.)
Indebtedness at the beginning of				
the financial year				
i) Principal Amount	1,30,882.33	14,778.07		1,45,660.40
ii) Interest due but not paid				
iii) Interest accrued but not due	109.51			109.51
Total (i+ii+iii)	1,30,991.84	14,778.07		1,45,769.91
Change in Indebtedness during				
the financial year				
Addition	1,31,203.04			1,31,203.04
Reduction	53,181.50	15,000.00		68,181.50
Net Change	78021.54	(15,000.00)		63021.54
Ind AS Impact	283.11	221.93		505.04
Indebtedness at the end of the				
financial year				
i) Principal Amount	2,09,186.98			2,09,186.98
ii) Interest due but not paid				
iii) Interest accrued but not due	74.69			74.69
Total (i+ii+iii)	2,09,261.67			2,09,261.67

#### VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

#### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sr. No.	Particulars of Remuneration	Rajesh Sharma – Managing Director * (Rs.)	Bipin Kabra – Director Finance # (Rs.)
1.	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	17,80,645	52,30,557
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	Nil	Nil
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	Nil	Nil
2.	Stock Options	Nil	Nil
3.	Sweat Equity	Nil	Nil
4.	Commission	Nil	Nil
	- as % of profit		
	- others, specify		
5.	Others, please specify	Nil	Nil
	Total (A)	17,80,645	52,30,557
Ceili	ng as per the Act (Rs. in Lakhs)		905.79

# Ceased to be a Director of the Company with effect from July 31, 2018; hence remuneration data till July 31, 2018. \* Apppointed as a Managing Director of the Company with effect from July 04, 2018

#### **B.** Remuneration to other Directors

#### 1. Independent Directors

Particulars of	Name of Directors								
Remuneration	Mr. Beni Prasad Rauka	Ms. Bhagyam Ramani	Mr. Mukesh Kacker	Mr. T. R. Bajalia	Mr. D. R. Dogra*	Mr. Ajay Kumar Relan#	Total Amount		
Fee for attending Board/Committee Meetings (Rs.)	12,00,000	15,00,000	5,85,000	8,40,000	3,00,000	75,000	45,00,000		
- Commission	NIL	NIL	NIL	NIL	NIL	NIL	NIL		
- Others, please specify	NIL	NIL	NIL	NIL	NIL	NIL	NIL		
Total (B)(1) (Rs.)	12,00,000	15,00,000	5,85,000	8,40,000	3,00,000	75,000	45,00,000		

\*Ceased to be a Director with effect from September 19, 2018

# Appointed as a Director with effect from December 04, 2018

#### 2. Other Non-Executive Directors

Particulars of Remuneration	Name of the Director Mr. Quintin E. Primo III Non-executive Chairman	Total Amount (Rs.)
Fee for attending	2,85,000	2,85,000
Board/Committee		
Meetings		

Particulars of	Name of the Director	Total
Remuneration	Mr. Quintin E. Primo III	Amount
	Non-executive Chairman	(Rs.)
-Commission	NIL	NIL
- Others, please	NIL	NIL
specify		
Total (B)(2)	2,85,000	2,85,000
Total Amount paid NEDs and IDs		47,85,000
(B) = (B)(1) + (B)(2)		
Total Managerial Remuneration (Total of A)		70,11,202
Over all Ceiling as per the Act (Rs. in Lakhs)		1,992.74

## C. Remuneration to Key Managerial Personnel Other Than MD / Manager / WTD

Sr.No.	Particulars of Remuneration	Harish Agrawal Senior Vice President & Company Secretary (Rs.)
1.	Gross Salary	
	(a) Salary as per provisions contained in	64,37,580
	Section 17(1) of the Income Tax Act, 1961	
	(b) Value of perquisites under Section 17(2)	Nil
	Income Tax Act, 1961	
	(c) Profits in lieu of salary under Section 17(3)	Nil
	Income Tax Act, 1961	
2.	Stock Options	Nil
3.	Sweat Equity	Nil
4.	Commission	Nil
	- as % of profit	
	- others, specify	
5.	Others, please specify	Nil
	Total (C)	64,37,580

## VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

Туре	Section of the Companies	Brief Description	Details of Penalty / Punishment /	Authority [RD/	Appeal made, if any			
	Act	-	Compounding fees imposed	NCLT / COURT]	(give details)			
A. COMPANY		•						
Penalty			None					
Punishment								
Compounding								
<b>B. DIRECTORS</b>	5							
Penalty			None					
Punishment								
Compounding								
C. OTHER OFF	C. OTHER OFFICERS IN DEFAULT							
Penalty	None							
Punishment								
Compounding								