



Date: October 05, 2018

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

Pursuant to Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith compliance report on Corporate Governance of the Company for the quarter and half year ended September 30, 2018.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**

**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**



Encl: As above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.cgcl.co.in](http://www.cgcl.co.in)

**Annexure I**

1. Name of Listed Entity: Capri Global Capital Limited
2. Quarter ending: September 30, 2018

<b>I. Composition of Board of Directors</b>								
<b>Title (Mr./ Ms)</b>	<b>Name of the Director</b>	<b>PAN &amp; DIN</b>	<b>Category (Chairperson /Executive/ Non- Executive/ independent/ Nominee)</b>	<b>Date of Appointment in the current term /cessation</b>	<b>Tenure</b>	<b>No. of Directorships in listed entities including this listed entity</b>	<b>Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity</b>	<b>No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity</b>
Mr.	Quintin E. Primo III	PAN: N.A  DIN: 06600839	Chairperson Non- executive Non Independent Director	02-Aug-2013	5 years & 2 months (62 months)	1	0	0
Mr.	Bipin Kabra	PAN: AAKPK5706B  DIN: 02879448	Executive	17-Jul-2017  Date of cessation: July 31, 2018	12 months	1	0	0
Mr.	Rajesh Sharma	PAN: AMBPS1495A  DIN: 00020037	Managing Director	15-May-2007	11 years & 5 months (137 months)	1	1	0



<b>I. Composition of Board of Directors</b>								
<b>Title (Mr./ Ms)</b>	<b>Name of the Director</b>	<b>PAN &amp; DIN</b>	<b>Category (Chairperson /Executive/ Non- Executive/ independent/ Nominee)</b>	<b>Date of Appointment in the current term /cessation</b>	<b>Tenure</b>	<b>No. of Directorships in listed entities including this listed entity</b>	<b>Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity</b>	<b>No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity</b>
Ms.	Bhagyam Ramani	PAN: AGEPR8495C  DIN: 00107097	Non Executive Independent Director	28-Jul-2012	6 years & 2 months (74 months)	4	2	0
Mr.	Mukesh Kacker	PAN: AGTPK2827N  DIN: 01569098	Non Executive Independent Director	11-Feb-2012	6 years & 8 months (80 months)	2	1	0
Mr.	T. R. Bajalia	PAN: ABLPB5232B  DIN: 02291892	Non Executive Independent Director	19-Jun-2014	4 years & 3 months (51 months)	3	2	0
Mr.	Desh Raj Dogra	PAN: ADZPD5926E  DIN: 00226775	Non Executive Independent Director	10-May-2017  Date of cessation: Sept. 19, 2018	1 years & 4 months 16 months	3	1	1



<b>I. Composition of Board of Directors</b>								
<b>Title (Mr./ Ms)</b>	<b>Name of the Director</b>	<b>PAN &amp; DIN</b>	<b>Category (Chairperson /Executive/ Non- Executive/ independent/ Nominee)</b>	<b>Date of Appointment in the current term /cessation</b>	<b>Tenure</b>	<b>No. of Directorships in listed entities including this listed entity</b>	<b>Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity</b>	<b>No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity</b>
Mr.	Beni Prasad Rauka	PAN: ABKPR5067M  DIN: 00295213	Non Executive Independent Director	12-Jan-2011	7 years & 8 months (93 months)	2	3	2



<b>II. Composition of Committees</b>		
<b>Name of Committee</b>	<b>Name of Committee members</b>	<b>Category (Chairperson / Executive / Non- Executive / Independent / Nominee)</b>
1. Audit Committee	Mr. Beni Prasad Rauka	Chairperson - Independent
	Mr. Mukesh Kacker	Independent - Member
	Ms. Bhagyam Ramani	Independent - Member
	Mr. T. R. Bajalia	Independent - Member
2. Nomination & Remuneration Committee	Ms. Bhagyam Ramani	Chairperson - Independent
	Mr. Beni Prasad Rauka	Independent - Member
	Mr. T. R. Bajalia	Independent - Member
3. Risk Management Committee	Mr. Rajesh Sharma	Chairperson - Executive
	Ms. Bhagyam Ramani	Independent - Member
	Mr. Beni Prasad Rauka	Independent - Member
	Mr. T. R. Bajalia	Independent - Member
4. Stakeholders Relationship Committee	Mr. Beni Prasad Rauka	Chairperson - Independent
	Ms. Bhagyam Ramani	Independent – Member
	Mr. Rajesh Sharma	Executive – Member
5. Corporate Social Responsibility Committee	Ms. Bhagyam Ramani	Chairperson - Independent
	Mr. Beni Prasad Rauka	Independent - Member
	Mr. Rajesh Sharma	Executive - Member





III. Meeting of Board of Directors			
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)	
May 26, 2018	July 04, 2018	39 days	
	July 23, 2018	19 days	
	August 02, 2018	10 days	
	September 08, 2018	37 days	
IV. Meeting of Committees			
A. Audit Committee			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of quorum met (details)	Date(s) of meeting of the Committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
September 08, 2018	Yes	May 25, 2018	106 days
V. Related Party Transactions			
Subject		Compliance status (Yes/No/NA)	
Whether prior approval of audit committee obtained		N.A	
Whether shareholder approval obtained for material RPT		N.A.	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		N.A.	



## VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration committee
  - c. Stakeholders Relationship committee
  - d. Risk Management Committee (applicable to the top 100 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
4. The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
5. ~~This report and/or~~ The report submitted in the previous quarter has been placed before Board of Directors.



**Name & Designation: Harish Agrawal**  
**Sr. Vice President & Company Secretary**



# ANNEXURE III

## Affirmations:

<i>Broad Heading</i>	<i>Regulation Number</i>	<i>Compliance Status</i>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, <del>business responsibility report</del> displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the Nomination and Remuneration Committee at the Annual General Meeting	19(3)	Yes
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

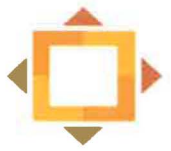
Name :  
Designation :



Harish Agrawal  
Senior Vice President & Company Secretary







Date: October 10, 2018

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

**Sub: Shareholding Pattern for the quarter ended September 30, 2018 in terms of Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 31 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith Shareholding Pattern of the Company for the quarter ended September 30, 2018.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**

**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**



Encl: As above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

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Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.capriglobal.in](http://www.capriglobal.in)

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1. Name of Listed Entity: Capri Global Capital Limited
2. Scrip Code/Name of Scrip/Class of Security: 531595
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending : 30th September, 2018
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sno.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



**Capri Global Capital Limited**
**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholder s	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	5	131242538	0	0	131242538	74.9380	131242538	0	131242538	74.9380	0	74.938	0	0.0000	0	0.0000	131242538
(B)	Public	6474	43892267	0	0	43892267	25.0620	43892267	0	43892267	25.0620	0	25.062	0	0.0000	NA	NA	43861857
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	NA	NA	0
	Total	6479	175134805	0	0	175134805	100	175134805	0	175134805	100	0	100	0	0.0000	0	0.0000	175104395



**Capri Global Capital Limited**
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sno	Category & Name of the shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No. of Voting Rights			Total as a % of Total Voting rights (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Indian																		
(a)	Individuals / Hindu Undivided Family		3	45900835	0	0	45900835	26.2089	45900835	0	45900835	26.2089	0	26.2089	0	0	0	0	45900835
	Ramesh Chandra Sharma	ADUP5874K	1	43764930	0	0	43764930	24.9893	43764930	0	43764930	24.9893	0	24.9893	0	0	0	0	43764930
	Ramesh Chandra Sharma (HUF)	AAFHR9816N	1	2135405	0	0	2135405	1.2193	2135405	0	2135405	1.2193	0	1.2193	0	0	0	0	2135405
	Rajesh Sharma	AMBPS149SA	1	500	0	0	500	0.0003	500	0	500	0.0003	0	0.0003	0	0	0	0	500
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		2	85341703	0	0	85341703	48.7292	85341703	0	85341703	48.7292	0	48.7292	0	0	0	0	85341703
	Bodies Corporate		2	85341703	0	0	85341703	48.7292	85341703	0	85341703	48.7292	0	48.7292	0	0	0	0	85341703
	Capri Global Holdings Private Limited	AABCM4155A	1	67824643	0	0	67824643	38.7271	67824643	0	67824643	38.7271	0	38.7271	0	0	0	0	67824643
	Capri Global Advisory Services Private Limited	AACCP2478C	1	17517060	0	0	17517060	10.002	17517060	0	17517060	10.002	0	10.002	0	0	0	0	17517060
	Sub Total (A)(1)		5	131242538	0	0	131242538	74.938	131242538	0	131242538	74.938	0	74.938	0	0	0	0	131242538
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		5	131242538	0	0	131242538	74.938	131242538	0	131242538	74.938	0	74.938	0	0	0	0	131242538





Capri Global Capital Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Sn.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
									No. of Voting Rights			Total as a % of Total Voting Rights (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		3	9487591	0	0	9487591	5.4173	9487591	0	9487591	5.4173	0	5.4173	0	0	NA	NA	9487591
	Bay Pond Mb	AAACW8079J	1	7200252	0	0	7200252	4.1113	7200252	0	7200252	4.1113	0	4.1113	0	0	NA	NA	7200252
(f)	Financial Institutions / Banks		2	12578	0	0	12578	0.0072	12578	0	12578	0.0072	0	0.0072	0	0	NA	NA	12578
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Sub Total (B)(1)		5	9500169	0	0	9500169	5.4245	9500169	0	9500169	5.4245	0	5.4245	0	0	NA	NA	9500169
2	Central Government/ State Government(s)/ President of India																		
	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		5960	4967995	0	0	4967995	2.8367	4967995	0	4967995	2.8367	0	2.8367	0	0	NA	NA	4938585
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	644199	0	0	644199	0.3678	644199	0	644199	0.3678	0	0.3678	0	0	NA	NA	644199
(b)	NBFCs registered with RBI		3	2261	0	0	2261	0.0013	2261	0	2261	0.0013	0	0.0013	0	0	NA	NA	2261
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(f)	Any Other (Specify)		502	28777643	0	0	28777643	16.4317	28777643	0	28777643	16.43171	0	16.4317	0	0	NA	NA	28776643
	IEPF		1	35615	0	0	35615	0.0203	35615	0	35615	0.0203	0	0.0203	0	0	NA	NA	35615
	Hindu Undivided Family		180	747176	0	0	747176	0.4266	747176	0	747176	0.4266	0	0.4266	0	0	NA	NA	747176
	Non Resident Indians (Non Repat)		28	85596	0	0	85596	0.0489	85596	0	85596	0.0489	0	0.0489	0	0	NA	NA	85596
	Non Resident Indians (Repat)		68	73762	0	0	73762	0.0421	73762	0	73762	0.0421	0	0.0421	0	0	NA	NA	73762
	Clearing Member		88	158502	0	0	158502	0.0905	158502	0	158502	0.090503	0	0.0905	0	0	NA	NA	158202
	Bodies Corporate:		145	25803600	0	0	25803600	14.7336	25803600	0	25803600	14.7336	0	14.7336	0	0	NA	NA	25802600
	Gainful Multitrade Private Limited	AACCG2852M	1	8392058	0	0	8392058	4.7918	8392058	0	8392058	4.7918	0	4.7918	0	0	NA	NA	8392058
	Roopam Multitrade Private Limited	AADCR7121H	1	6693222	0	0	6693222	3.8218	6693222	0	6693222	3.8218	0	3.8218	0	0	NA	NA	6693222
	Badrikedar Commercials Private Limited	AACCB8087D	1	3390718	0	0	3390718	1.9361	3390718	0	3390718	1.9361	0	1.9361	0	0	NA	NA	3390718
	Samvrudhi Tradesol Pvt Ltd.	AAUCS8190E	1	2329229	0	0	2329229	1.3300	2329229	0	2329229	1.3300	0	1.3300	0	0	NA	NA	2329229
	Gladiolus Property & Investments Pvt. Ltd.	AADCG0676N	1	2206783	0	0	2206783	1.2600	2206783	0	2206783	1.2600	0	1.2600	0	0	NA	NA	2206783
	Sub Total (B)(3)		6469	34392098	0	0	34392098	19.6375004	34392098	0	34392098	19.6375	0	19.6375004	0	0	NA	NA	34361685
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		6474	43892267	0	0	43892267	25.0620004	43892267	0	43892267	25.062	0	25.0620004	0	0	NA	NA	43861857



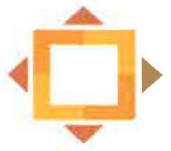
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																			
No. of shareholders	No. of Shares																		
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
Note																			
(1) PAN would not be displayed on website of Stock Exchange(s).																			
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																			
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.																			



**Capri Global Capital Limited**
**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Sno.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)				(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C){1}+(C){2}		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0





Date: October 11, 2018

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir,

**Sub.: Reconciliation of Share Capital Audit Report for the quarter ended September 30, 2018**

With reference to the captioned subject we are enclosing herewith “**Reconciliation of Share Capital Audit Report**” for the quarter ended September 30, 2018 for your perusal and records.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**

**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**



Encl: As above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.capriglobal.in](http://www.capriglobal.in)

# DM & ASSOCIATES COMPANY SECRETARIES LLP

(LLPIN NO. AAI-4743)

REGD. OFFICE: # 205, NADIADWALA MARKET, PODDAR ROAD, MALAD (EAST), MUMBAI-400097

Tel No. : 9167110063

Email: dinesh.deora@yahoo.com

## RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

To,  
The Board of Directors  
Capri Global Capital Limited  
502, Tower A, Peninsula Business Park,  
Senapati Bapat Marg, Lower Parel,  
Mumbai 400 013

We have examined the Register of Members, beneficiary details furnished by the Depositories and other records/ documents maintained by **Capri Global Capital Limited** (hereinafter referred to as "the Company") and its Registrars and Share Transfer Agents, **Link Intime India Private Limited**, for issuing this certificate, in accordance with Regulation 55A of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verification as considered necessary, we hereby certify the following in respect of the quarter ended **30<sup>th</sup> September, 2018**:

1 For Quarter Ended

**30<sup>th</sup> September, 2018**

2 ISIN:

INE180C01026

3 Face Value:

Rs.2/-

4 Name of the Company

**Capri Global Capital Limited**

5 Registered Office Address

502, Tower A, Peninsula Business Park,  
Senapati Bapat Marg, Lower Parel,  
Mumbai 400 013

6 Correspondence Address

Same As Above

7 Telephone & Fax Nos.

Tel No.-(022)-43548200

Fax No.-(022)-40888170

8 Email address

investor.relation@cgcl.co.in

9 Names of the Stock Exchanges where the company's securities are listed:

1. BSE Limited
2. National Stock Exchange of India Limited

10 Issued Capital

Number of shares	% of Total Issued Cap
17,51,34,805	100%
17,51,34,805	100%

11 Listed Capital (Exchange wise)

12 Held In dematerialized form in CDSL

1,78,98,339 10.22%

13 Held In dematerialized form in NSDL

15,72,06,056 89.76%

14 Physical

30,410 0.02%

15 Total No. of shares (12+13+14)

17,51,34,805 100.00%

16 Reasons for difference if any, between (10 & 11), (10&15), (11&15):

N.A



17 Certifying the details of changes in share capital during the quarter under consideration as per table below:-

N.A

Particulars	No. of shares	Applied/Not applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Approval pending for SE (Specify Names)
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18 Register of Members is updated (Yes/No)  
If not, updated up to which date

Yes

-

19 Reference of previous quarter with regards to excess dematerialized shares, if any

NIL

20 Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?

N/A

21 Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

Total No. of demat/remat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 days	Nil	Nil	N.A
Pending for more that 21 days	Nil	Nil	N.A

22	Name, Telephone & Fax No. of the Compliance Officer of the Company	<b>Mr. Harish Agrawal</b> <b>Compliance Officer</b> Tel/Fax: (022)-40888104
23	Name, Address, Tel. & Fax No., Regn. No. of the Auditor	<b>Dinesh Kumar Deora-Partner</b> DM & Associates Company Secretaries LLP Practising Company Secretaries #205, 2 <sup>nd</sup> Floor, Nadiadwala Market, Poddar Road, Malad East, Mumbai-400097 Tel. Nos. 9167110063 Membership No. FCS 5683 COP No. 4119
24	Appointment of Common Agency for share registry work	<b>Link Intime India Private Limited</b> C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai 400 083 Tel: 022 49186000 Fax: 022 49186060 Email Id: <a href="mailto:rnt.helpdesk@linkintime.co.in">rnt.helpdesk@linkintime.co.in</a> Website: <a href="http://www.linkintime.co.in">www.linkintime.co.in</a>
25	Any other details that the auditor may like to provide	: Nil

Signature:

**Dinesh Kumar Deora-Partner**  
DM & Associates Company Secretaries LLP  
Practicing Company Secretaries  
FCS 5683 COP No. 4119

Place: Mumbai

Date: October 10, 2018







Date: October 11, 2018

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No. 531595</b>	<b>Company Code CGCL</b>

Dear Sir,

**Sub: Intimation in terms of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In compliance with the provisions of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, enclosed please find herewith a copy of Certificate issued by Mr. Dinesh Kumar Deora, Practicing Company Secretary for the half year ended September 30, 2018.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For **Capri Global Capital Limited**

**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**



Encl.: as above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: contact@cgcl.co.in; Website: www.capriglobal.in

**DM & ASSOCIATES COMPANY SECRETARIES LLP**

(LLPIN NO. AAI-4743)

REGD. OFFICE: # 205, NADIADWALA MARKET, PODDAR ROAD, MALAD (EAST), MUMBAI-400097

Tel No. : 9167110063

Email: dinesh.deora@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER 30, 2018**

We have examined all documents for Share Transfer relating to CAPRI GLOBAL CAPITAL LIMITED (Company) maintained by LINK INTIME INDIA PRIVATE LIMITED, Registrar and Share Transfer Agent (RTA) pertaining to transfer of Equity Shares of the Company for the period beginning from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 for the purpose of issuing a Certificate as required under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on the information provided by the Company and the RTA, we hereby certify that during the period under review, the Company has issued all share certificate(s) within a period of thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies from respective date of lodgement of each deed.

Date: October 10, 2018

Place: Mumbai

  
Dinesh Kumar Deora-Partner

DM & Associates Company Secretaries LLP

Practising Company Secretaries

Membership No F5683 COP 4119

ICSI Unique Code of Firm: L2017MH003500





Date: October 10, 2018

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai - 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

**Sub: Compliance Certificate for the half year ended September 30, 2018 in terms of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., M/s. Link Intime India Private Limited, which is a SEBI approved Category - I Registrar & Transfer Agent, registered with Securities and Exchange Board of India (SEBI Registration Number : INR000004058).

You are requested to take the same on record and acknowledge receipt of the same.

**For Capri Global Capital Limited**

(Harish Agrawal)  
Senior Vice President & Company Secretary



**For M/s. Link Intime India Private Limited**

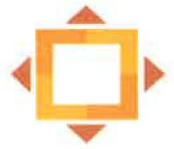
Authorized Representative



**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@cgcl.co.in](mailto:contact@cgcl.co.in); Website: [www.capriglobal.in](http://www.capriglobal.in)



Date: October 12, 2018

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No. 531595</b>	<b>Company Code CGCL</b>

Dear Sir,

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are furnishing herewith details of investor grievance of the Company for the quarter ended September 30, 2018.

SCORES Registration ID*	m00263
No. of investor complaints pending at the beginning of quarter	Nil
No. of investor complaints received during the quarter	01
No. of investor complaints disposed off during the quarter	01
No. of investor complaints remaining unresolved at the end of the quarter	Nil

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**

(Harish Agrawal)  
Senior Vice President & Company Secretary



**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

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