

Date: April 04, 2019

To,

<b>The Secretary BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

**Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 7 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., M/s. Link Intime India Private Limited, which is a SEBI approved category-I Registrar & Transfer Agent, registered with Securities and Exchange Board of India (SEBI Registration Number : INR000004058).

You are requested to take the same on record and acknowledge receipt of the same.

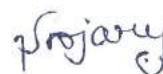
**For Capri Global Capital Limited**



**(Harish Agrawal)**  
**Compliance Officer and**  
**Senior Vice President & Company Secretary**



**For M/s. Link Intime India Private Limited**



**Authorized Representative**



**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@capriglobal.in](mailto:contact@capriglobal.in); Website: [www.capriglobal.in](http://www.capriglobal.in)

Date: April 12, 2019

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai 400 051
<b>Company Code No. 531595</b>	<b>Company Code CGCL</b>

Dear Sir,

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are furnishing herewith details of investor grievance of the Company for the quarter ended March 31, 2019.

SCORES Registration ID*	m00263
No. of investor complaints pending at the beginning of quarter	Nil
No. of investor complaints received during the quarter	Nil
No. of investor complaints disposed off during the quarter	Nil
No. of investor complaints remaining unresolved at the end of the quarter	Nil

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**



**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**



**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

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Date: May 27, 2019

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

**Sub: Annual Secretarial Compliance Report for the year ended March 31, 2019**

Pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith the Annual Secretarial Compliance Report for the financial year 2018-19.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For **Capri Global Capital Limited**



**(Rajesh Sharma)**  
**Managing Director**



Encl.: As above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
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**Secretarial Compliance Report of Capri Global Capital Limited for the year ended 31st March, 2019.**

To,  
Capri Global Capital Limited  
502, Tower A,  
Peninsula Business Park,  
Senapati Bapat Marg  
Lower Parel,  
Mumbai - 400 013

We PRS Associates, Company Secretaries have examined:

- (a) All the documents and records made available to us and explanation provided by Capri Global Capital Limited ('the listed entity'),
- (b) The filings made by the listed entity to the Stock Exchanges, where the shares of the Company are listed,
- (c) Website of the listed entity,
- (d) Other documents or filings made by the listed entity, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2019 ("Review Period") in respect of compliance with the Provisions of :

- (a) The Securities and Exchange of India Act, 1992 ('SEBI Act') and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ('SCRA') rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ('SEBI').

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, includes:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



- (c) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (d) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (e) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (f) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

and circulars/ guidelines issued thereunder;

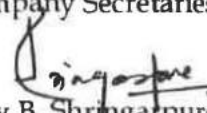
Provisions of the following Regulations and Guidelines prescribed under the Securities and Exchange Board of India Act, 1992 (SEBI Act) were not applicable to the Company under the financial year under report:

- i) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- ii) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018.

Based on the above examination, we hereby report that, during period under review;

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) No action has been taken against the listed entity/ its promoters/ directors wither by the SEBI or by Stock Exchanges where the shares of the Company are listed.
- (d) This being the first year of reporting, action comply report on the observations made in the last report is not applicable.

Place: Mumbai  
Date: May 3, 2019

for PRS Associates  
Company Secretaries  
  
Sanjay B. Shringarpure  
Company Secretary  
FCS-2857  
CP No. 6107



Date: April 04, 2019

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

**Sub: Corporate Governance Report for the quarter ended March 31, 2019 in terms of Regulation 27 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith compliance report on Corporate Governance of the Company for the quarter ended March 31, 2019.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**



**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**

Encl: As above



**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@capriglobal.in](mailto:contact@capriglobal.in); Website: [www.capriglobal.in](http://www.capriglobal.in)

**Annexure I**

1. Name of Listed Entity: **Capri Global Capital Limited**

2. Quarter ending: **March 31, 2019**

<b>I. Composition of Board of Directors</b>								
<b>Title (Mr./ Ms)</b>	<b>Name of the Director</b>	<b>PAN &amp; DIN</b>	<b>Category (Chairperson /Executive/ Non- Executive/ independent/ Nominee)</b>	<b>Date of Appointment in the current term /cessation</b>	<b>Tenure</b>	<b>No. of Directorships in listed entities including this listed entity</b>	<b>Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity</b>	<b>No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity</b>
Mr.	Quintin E. Primo III	PAN: N.A DIN: 06600839	Chairperson Non-executive Non Independent Director	02-Aug-2013	5 years & 8 months (68 months)	1	0	0
Mr.	Rajesh Sharma	PAN: AMBPS1495A DIN: 00020037	Managing Director	15-May-2007	11 years & 11 months (143 months)	1	1	0
Ms.	Bhagyam Ramani	PAN: AGEPR8495C DIN: 00107097	Non Executive Independent Director	28-Jul-2012	6 years & 8 months (80 months)	4	2	0
Mr.	Mukesh Kacker	PAN: AGTPK2827N DIN: 01569098	Non Executive Independent Director	11-Feb-2012	7 years & 2 months (86 months)	2	1	0



<b>I. Composition of Board of Directors</b>								
<b>Title (Mr./Ms)</b>	<b>Name of the Director</b>	<b>PAN &amp; DIN</b>	<b>Category (Chairperson /Executive/ Non- Executive/ independent/ Nominee)</b>	<b>Date of Appointment in the current term /cessation</b>	<b>Tenure</b>	<b>No. of Directorships in listed entities including this listed entity</b>	<b>Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity</b>	<b>No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity</b>
Mr.	T. R. Bajalia	PAN: ABLPB5232B DIN: 02291892	Non Executive Independent Director	19-Jun-2014	4 years & 9 months (57 months)	3	2	0
Mr.	Beni Prasad Rauka	PAN: ABKPR5067M DIN: 00295213	Non Executive Independent Director	12-Jan-2011	8 years & 2 months (99 months)	2	3	2
Mr.	Ajay Kumar Relan	PAN: AADPR4374F DIN: 00002632	Non Executive Independent Director	04-Dec-2018	4 month	3	4	2





<b>II. Composition of Committees</b>		
<b>Name of Committee</b>	<b>Name of Committee members</b>	<b>Category (Chairperson / Executive / Non- Executive / Independent / Nominee)</b>
1. Audit Committee	Mr. Beni Prasad Rauka	Chairperson - Independent
	Mr. Mukesh Kacker	Independent - Member
	Ms. Bhagyam Ramani	Independent - Member
	Mr. T. R. Bajalia	Independent - Member
2. Nomination & Remuneration Committee	Ms. Bhagyam Ramani	Chairperson - Independent
	Mr. Beni Prasad Rauka	Independent - Member
	Mr. T. R. Bajalia	Independent - Member
3. Risk Management Committee	Mr. Rajesh Sharma	Chairperson - Executive
	Ms. Bhagyam Ramani	Independent - Member
	Mr. Beni Prasad Rauka	Independent - Member
	Mr. T. R. Bajalia	Independent - Member
4. Stakeholders Relationship Committee	Mr. Beni Prasad Rauka	Chairperson - Independent
	Ms. Bhagyam Ramani	Independent – Member
	Mr. Rajesh Sharma	Executive – Member
5. Corporate Social Responsibility Committee	Ms. Bhagyam Ramani	Chairperson - Independent
	Mr. Beni Prasad Rauka	Independent - Member
	Mr. Rajesh Sharma	Executive - Member



III. Meeting of Board of Directors			
Date(s) of Meeting (if any) in the previous quarter		Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
November 15, 2018		February 01, 2019	77 days
IV. Meeting of Committees			
A. Audit Committee			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of quorum met (details)	Date(s) of meeting of the Committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
February 01, 2019	Yes	November 15, 2018	77 days
V. Related Party Transactions			
Subject		Compliance status (Yes/No/NA)	
Whether prior approval of audit committee obtained		Yes	
Whether shareholder approval obtained for material RPT		N.A.	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		N.A.	



## **VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration committee
  - c. Stakeholders Relationship committee
  - d. Risk Management Committee (applicable to the top 100 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
4. The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
5. ~~This report and /or~~ The report submitted in the previous quarter has been placed before Board of Directors.

  
**Name & Designation: Harish Agrawal**  
**Sr. Vice President & Company Secretary**



## ANNEXURE II

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

<b>I. Disclosure on website in terms of Listing Regulations</b>	
<b><i>Item</i></b>	<b><i>Compliance status (Yes/No/NA)</i></b>
Details of business	Yes
Terms and conditions of appointment of independent directors	Yes
Composition of various committees of board of directors	Yes
Code of conduct of board of directors and senior management personnel	Yes
Details of establishment of vigil mechanism/ Whistle Blower policy	Yes
Criteria of making payments to non-executive directors	Yes
Policy on dealing with related party transactions	Yes
Policy for determining 'material' subsidiaries	Yes
Details of familiarization programmes imparted to independent directors	Yes
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes
email address for grievance redressal and other relevant details	Yes
Financial results	Yes
Shareholding pattern	Yes
Details of agreements entered into with the media companies and/or their associates	Not Applicable
New name and the old name of the listed entity	Not Applicable





<b>II. Annual Affirmations</b>		
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA)</b>
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes
<i>Board composition</i>	17(1)	Yes
<i>Meeting of Board of directors</i>	17(2)	Yes
<i>Review of Compliance Reports</i>	17(3)	Yes
<i>Plans for orderly succession for appointments</i>	17(4)	Yes
<i>Code of Conduct</i>	17(5)	Yes
<i>Fees/compensation</i>	17(6)	Yes
<i>Minimum Information</i>	17(7)	Yes
<i>Compliance Certificate</i>	17(8)	Yes
<i>Risk Assessment &amp; Management</i>	17(9)	Yes
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes
<i>Composition of Audit Committee</i>	18(1)	Yes
<i>Meeting of Audit Committee</i>	18(2)	Yes
<i>Composition of nomination &amp; remuneration committee</i>	19(1) & (2)	Yes
<i>Composition of Stakeholder Relationship Committee</i>	20(1) & (2)	Yes
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes
<i>Vigil Mechanism</i>	22	Yes
<i>Policy for related party Transaction</i>	23(1),(5),(6),(7) & (8)	Yes
<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2), (3)	Yes
<i>Approval for material related party transactions</i>	23(4)	Not Applicable
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5) & (6)	Yes
<i>Maximum Directorship &amp; Tenure</i>	25(1) & (2)	Yes
<i>Meeting of independent directors</i>	25(3) & (4)	Yes
<i>Familiarization of independent directors</i>	25(7)	Yes
<i>Memberships in Committees</i>	26(1)	Yes
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes
<i>Disclosure of Shareholding by Non- Executive Directors</i>	26(4)	Yes
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes



### **III Affirmations:**

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - Yes

**Name** :  **Harish Agrawal**  
**Designation** : **Company Secretary**



Date: April 04, 2019

To,

The Secretary <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir / Madam,

**Sub: Shareholding Pattern for the quarter ended March 31, 2019 in terms of Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 31 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith Shareholding Pattern of the Company for the quarter ended March 31, 2019.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**



**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**



Encl: As above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@capriglobal.in](mailto:contact@capriglobal.in); Website: [www.capriglobal.in](http://www.capriglobal.in)

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1. Name of Listed Entity: Capri Global Capital Limited
2. Scrip Code/Name of Scrip/Class of Security: 531595
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending : 31st March 2019
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sno.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.





Capri Global Capital Limited

Table I - Summary Statement holding of specified securities

Category y	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No. of Voting Rights			Total as a % of [A+B+C]			No. (a)	As a % of total Shares held [b]	No. (a)	As a % of total Shares held [b]	
								Class eg: X	Class eg: y	Total								
{I}	{II}	{III}	{IV}	{V}	{VI}	{VII} = {IV}+{V}+ {VI}	{VIII} As a % of {A+B+C2}	{IX}				{X}	{XI} = {VII}+{X} As a % of {A+B+C2}	{XII}		{XIII}		{XIV}
{A}	Promoter & Promoter Group	5	131242538	0	0	131242538	74.9380	131242538	0	131242538	74.9380	0	74.938	0	0.0000	0	0.0000	131242538
{B}	Public	5286	43892267	0	0	43892267	25.0620	43892267	0	43892267	25.0620	0	25.062	0	0.0000	NA	NA	43866857
{C}	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
{C1}	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	NA	NA	0
{C2}	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0000	NA	NA	0
	Total	5291	175134805	0	0	175134805	100	175134805	0	175134805	100	0	100	0	0.0000	0	0.0000	175109395



**Capri Global Capital Limited**
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sno	Category & Name of the shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No. of Voting Rights			Total as a % of Total Voting rights (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Indian																		
(a)	Individuals / Hindu Undivided Family		3	45900835	0	0	45900835	26.2089	45900835	0	45900835	26.2089	0	26.2089	0	0	0	0	45900835
	Ramesh Chandra Sharma	ADUP55874K	1	43764930	0	0	43764930	24.9893	43764930	0	43764930	24.9893	0	24.9893	0	0	0	0	43764930
	Ramesh Chandra Sharma (HUF)	AAFHR9816N	1	2135405	0	0	2135405	1.2193	2135405	0	2135405	1.2193	0	1.2193	0	0	0	0	2135405
	Rajesh Sharma	AMBPS1495A	1	500	0	0	500	0.0003	500	0	500	0.0003	0	0.0003	0	0	0	0	500
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		2	85341703	0	0	85341703	48.7292	85341703	0	85341703	48.7292	0	48.7292	0	0	0	0	85341703
	Bodies Corporate		2	85341703	0	0	85341703	48.7292	85341703	0	85341703	48.7292	0	48.7292	0	0	0	0	85341703
	Capri Global Holdings Private Limited	AABCM4155A	1	67824643	0	0	67824643	38.7271	67824643	0	67824643	38.7271	0	38.7271	0	0	0	0	67824643
	Capri Global Advisory Services Private Limited	AACCP2478C	1	17517060	0	0	17517060	10.002	17517060	0	17517060	10.002	0	10.002	0	0	0	0	17517060
	Sub Total (A)(1)		5	131242538	0	0	131242538	74.938	131242538	0	131242538	74.938	0	74.938	0	0	0	0	131242538
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		5	131242538	0	0	131242538	74.938	131242538	0	131242538	74.938	0	74.938	0	0	0	0	131242538



Table III - Statement showing shareholding pattern of the Public shareholder

Sn.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No. of Voting Rights			Total as a % of Total Voting Rights (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		2	5694774	0	0	5694774	3.2517	5694774	0	5694774	3.2517	0	3.2517	0	0	NA	NA	5694774
	Albula Investment Fund Ltd	AAHCA3597Q	1	5678178	0	0	5678178	3.2422	5678178	0	5678178	3.2422	0	3.2422	0	0	NA	NA	5678178
(f)	Financial Institutions / Banks		1	11007	0	0	11007	0.0063	11007	0	11007	0.0063	0	0.0063	0	0	NA	NA	11007
(g)	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Sub Total (B)(1)		3	5705781	0	0	5705781	3.2579	5705781	0	5705781	3.2579	0	3.2579	0	0	NA	NA	5705781
2	Central Government/ State Government(s)/ President of India																		
	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4816	3592425	0	0	3592425	2.0512	3592425	0	3592425	2.0512	0	2.0512	0	0	NA	NA	3568015
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	969250	0	0	969250	0.5534	969250	0	969250	0.5534	0	0.5534	0	0	NA	NA	969250
(b)	NBFCs registered with RBI		1	100	0	0	100	0.0001	100	0	100	0.0001	0	0.0001	0	0	NA	NA	100
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(f)	Any Other (Specify)		462	33624711	0	0	33624711	19.1993	33624711	0	33624711	19.1993	0	19.1993	0	0	NA	NA	33623711
	IEPF		1	35615	0	0	35615	0.0203	35615	0	35615	0.0203	0	0.0203	0	0	NA	NA	35615
	Hindu Undivided Family		144	659096	0	0	659096	0.3763	659096	0	659096	0.3763	0	0.3763	0	0	NA	NA	659096
	Non Resident Indians (Non Repat)		22	24090	0	0	24090	0.0138	24090	0	24090	0.0138	0	0.0138	0	0	NA	NA	24090
	Non Resident Indians (Repat)		62	50764	0	0	50764	0.0290	50764	0	50764	0.0290	0	0.0290	0	0	NA	NA	50764
	Clearing Member		118	205395	0	0	205395	0.1173	205395	0	205395	0.1173	0	0.1173	0	0	NA	NA	205395



Bodies Corporate:		115	32649751	0	0	32649751	18.6426	32649751	0	32649751	18.6426	0	18.6426	0	0	NA	NA	32648751
Gainful Multitrade Private Limited	AACCG2852M	1	9670641	0	0	9670641	5.5218	9670641	0	9670641	5.5218	0	5.5218	0	0	NA	NA	9670641
Roopam Multitrade Private Limited	AADCR7121H	1	6784558	0	0	6784558	3.8739	6784558	0	6784558	3.8739	0	3.8739	0	0	NA	NA	6784558
Badriekdar Commercials Private Limited	AACCB8087D	1	3403224	0	0	3403224	1.9432	3403224	0	3403224	1.9432	0	1.9432	0	0	NA	NA	3403224
Samvrudhi Multitrade Pvt. Ltd.	AABQJ8722G	1	3229599	0	0	3229599	1.8441	3229599	0	3229599	1.8441	0	1.8441	0	0	NA	NA	3229599
Gladiolus Property & Investments Pvt. Ltd.	AADCG0676N	1	2580375	0	0	2580375	1.4734	2580375	0	2580375	1.4734	0	1.4734	0	0	NA	NA	2580375
Samvrudhi Tradesol Pvt Ltd.	AAUCS8190E	1	2315729	0	0	2315729	1.3223	2315729	0	2315729	1.3223	0	1.3223	0	0	NA	NA	2315729
Suhani Trading and Investment Consultants Private Limited	AALCS4222N	1	2194109	0	0	2194109	1.2528	2194109	0	2194109	1.2528	0	1.2528	0	0	NA	NA	2194109
Sub Total (B)(3)		5283	38186486	0	0	38186486	21.8041	38186486	0	38186486	21.8041	0	21.8041	0	0	NA	NA	38161076
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		5286	43892267	0	0	43892267	25.0620	43892267	0	43892267	25.0620	0	25.0620	0	0	NA	NA	43866857
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																		
No. of shareholders	No. of Shares																	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		
Note																		
(1) PAN would not be displayed on website of Stock Exchange(s).																		
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																		
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.																		





**Capri Global Capital Limited**
**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Sno.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of {A+B+C}	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0



Date: April 12, 2019

To,

<b>The Secretary</b> <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No. 531595</b>	<b>Company Code CGCL</b>

Dear Sir,

In compliance with the provisions of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, enclosed please find herewith a copy of Certificate issued by Mr. Dinesh Kumar Deora, Practicing Company Secretary for the half year ended March 31, 2019.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**



**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**



Encl.: as above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.  
Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@capriglobal.in](mailto:contact@capriglobal.in); Website: [www.capriglobal.in](http://www.capriglobal.in)

**DM & ASSOCIATES COMPANY SECRETARIES LLP**

**(LLPIN NO. AAI-4743)**

REGD. OFFICE: # 205, NADIADWALA MARKET, PODDAR ROAD, MALAD (EAST), MUMBAI-400097

Tel No. : 9167110063

Email: dinesh.deora@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED MARCH 31, 2019**

We have examined all documents for Share Transfer relating to CAPRI GLOBAL CAPITAL LIMITED (Company) maintained by LINK INTIME INDIA PRIVATE LIMITED, Registrar and Share Transfer Agent (RTA) pertaining to transfer of Equity Shares of the Company for the period beginning from 1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019 for the purpose of issuing a Certificate as required under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on the information provided by the Company and the RTA, we hereby certify that during the period under review, the Company has issued all share certificate(s) within a period of thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies from respective date of lodgement of each deed.

Date: April 12, 2019

Place: Mumbai



Dinesh Kumar Deora-Partner

DM & Associates Company Secretaries LLP

Practising Company Secretaries

Membership No. F5683 COP 4119



Date: April 12, 2019

To,

<b>The Secretary</b> <b>BSE LIMITED</b> Phiroze Jeejeebhoy Tower, Dalal Street, Fort, Mumbai 400 001	<b>NATIONAL STOCK EXCHANGE OF INDIA LIMITED</b> Listing Department Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C1, G Block, Bandra - Kurla Complex, Bandra (East), Mumbai – 400 051
<b>Company Code No.: 531595</b>	<b>Company Code: CGCL</b>

Dear Sir,

**Sub.: Reconciliation of Share Capital Audit Report for the quarter ended March 31, 2019**

With reference to the captioned subject we are enclosing herewith “**Reconciliation of Share Capital Audit Report**” for the quarter ended March 31, 2019 for your perusal and records.

You are requested to take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **Capri Global Capital Limited**



**(Harish Agrawal)**  
**Senior Vice President & Company Secretary**



Encl: As above

**Capri Global Capital Limited**

(CIN: L65921MH1994PLC173469)

Regd Off: 502, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai – 400 013.

Tel: +91 22 4088 8100/4354 8200; E-mail: [contact@capriglobal.in](mailto:contact@capriglobal.in); Website: [www.capriglobal.in](http://www.capriglobal.in)



# DM & ASSOCIATES COMPANY SECRETARIES LLP

(LLPIN NO. AAI-4743)

REGD. OFFICE: # 205, NADIADWALA MARKET, PODDAR ROAD, MALAD (EAST), MUMBAI-400097

Tel No. : 022-65643641

Email: dinesh.deora@yahoo.com

## RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

To,  
The Board of Directors  
**Capri Global Capital Limited**  
502, Tower A, Peninsula Business Park,  
Senapati Bapat Marg, Lower Parel,  
Mumbai 400 013

We have examined the Register of Members, beneficiary details furnished by the Depositories and other records/ documents maintained by **Capri Global Capital Limited** (hereinafter referred to as "the Company") and its Registrars and Share Transfer Agents, **Link Intime India Private Limited**, for issuing this certificate, in accordance with Regulation 55A of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verification as considered necessary, we hereby certify the following in respect of the quarter ended **31<sup>st</sup> March 2019**:

1 For Quarter Ended

**31<sup>st</sup> March, 2019**

2 ISIN:

INE180C01026

3 Face Value:

Rs.2/-

4 Name of the Company

**Capri Global Capital Limited**

5 Registered Office Address

502, Tower A, Peninsula Business Park,  
Senapati Bapat Marg, Lower Parel,  
Mumbai 400 013

6 Correspondence Address

Same as above

7 Telephone & Fax Nos.

Tel No.-(022)-43548200  
Fax No.-(022)-40888170

8 Email address

[investor.relation@capriglobal.in](mailto:investor.relation@capriglobal.in)

9 Names of the Stock Exchanges where the company's securities are listed:

1. BSE Limited
2. National Stock Exchange of India Limited

10 Issued Capital

**Number of shares**

17,51,34,805

**% of Total Issued Cap**

100%

11 Listed Capital (Exchange wise)

17,51,34,805

100%

12 Held In dematerialized form in CDSL

2,12,09,616

12.11%

13 Held In dematerialized form in NSDL

15,38,99,779

87.88%

14 Physical

25,410

0.01%

15 Total No. of shares (12+13+14)

17,51,34,805

100.00%

16 Reasons for difference if any, between (10 & 11), (10&15), (11&15):

N.A



17 Certifying the details of changes in share capital during the quarter under consideration as per table below:-

N.A

Particulars	No. of shares	Applied/Not applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Approval pending for SE (Specify Names)

18 Register of Members is updated (Yes/No)  
If not, updated up to which date

**Yes**

19 Reference of previous quarter with regards to excess dematerialized shares, if any

NIL

20 Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?

N/A

21 Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

Total No. of demat/remat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 days	Nil	Nil	N.A
Pending for more that 21 days	Nil	Nil	N.A

22	Name, Telephone & Fax No. of the Compliance Officer of the Company	<b>Mr. Harish Agrawal</b> <b>Compliance Officer</b> Tel/Fax: (022)-40888104
23	Name, Address, Tel. & Fax No., Regn. No. of the Auditor	<b>Dinesh Kumar Deora-Partner</b> DM & Associates Company Secretaries LLP Practising Company Secretaries #205, 2 <sup>nd</sup> Floor, Nadiadwala Market, Poddar Road, Malad East, Mumbai-400097 Tel. Nos. 022-65643641 Membership No. FCS 5683 COP No. 4119
24	Appointment of Common Agency for share registry work	<b>Link Intime India Private Limited</b> C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai 400 083 <b>Tel:</b> 022 49186000 <b>Fax:</b> 022 49186060 <b>Email Id:</b> <a href="mailto:mumbai@linkintime.co.in">mumbai@linkintime.co.in</a> <b>Website:</b> <a href="http://www.linkintime.co.in">www.linkintime.co.in</a>
25	Any other details that the auditor may like to provide : Nil	

Signature:

**Dinesh Kumar Deora-Partner**  
DM & Associates Company Secretaries LLP  
Practicing Company Secretaries  
FCS 5683 COP No. 4119

Place: Mumbai  
Date: April 12, 2019

